N THE UNITED STATES PATENT AND

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EFLICANTS: Michael Hu et al

DOCKET NO.: 1142.1

SERIAL NO.:

10/673,719

ART UNIT:

FILED:

9/29/2003

EXAMINER:

TITLE: Production of Aligned Microfibers and Nanofibers and Derived Functional Monoliths

INFORMATION DISCLOSURE STATEMENT under 37 CFR 1.56 and 1.97

Commissioner for Patents Arlington, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. 1.56. A copy of each document is being submitted herewith to comply with the provisions of 37 C.F.R. 1.97 and 1.98.

Applicant presents these references that the Patent Office may determine any relevancy thereof to the presently claimed invention.

Applicant respectfully requests that the references be expressly considered during the prosecution of the subject application and made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant also requests that an initialed copy of Form PTO-1449 be returned in accordance with MPEP Section 609.

Respectfully submitted,

Shelley L. Stafford, Agent for Applicant

Reg. No. 38,623 UT-Battelle, LLC. P.O. Box 2008

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January 13, 2004

Karen D. Drew

PTO/SB/08A (08-03)
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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Co	mplete if Known	
Application Number	10/673,719	
Filing Date	9/29/2003	
First Named Inventor	Michael Hu	
Art Unit		-
Examiner Name		
Attornov Docket Number	11101	

				T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		I IS- T COO CALC			
		^{US-} 5,603,819	02/18/1997	Harris et al.	
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	. • .	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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STATEMENT BY APPLICANT	First Named Inventor	Michael Hu	
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Attorney Docket Number

1142.1

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